

## Equality Impact Assessment Form

### screeintip-sectionA

#### 1. Document Control

##### 1. Control Details

Title:	Schools Block Transfer Proposals 2019/20
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Strategic Budget EIA: Y/N	Y
Exempt from publication Y/N	N

##### 2. Document Amendment Record

Version	Author	Date	Approved

##### 3. Contributors/Reviewers

Name	Position	Date
Ceri Walters	Head of Commercial Finance	27/09/18
Adisa Djan	Equality Lead	01/10/18

**4. Glossary of Terms**

Term	Description
Schools Block	Local Authority funding for schools in the form of the Dedicated Schools Grant (DSG) is provided in 4 blocks based on national funding formulae. One of these blocks is the “Schools Block”. This funds delegated budgets for schools.
High Needs Budget	A second block of the DSG is the high needs block. This forms the high needs budget which funds provision and support services for pupils with Special Educational Needs and those in Alternative Provision settings.

[screeintip-sectionB](#)

**2. Assessment**

**1. Brief description of proposal / policy / service being assessed**

This relates to a proposal to retain 0.5% of schools block funding in 2019/20 and move this to the high needs budget. This will involve secondary schools receiving lower funding in 2019/20 than they would otherwise expect by on average £71 per pupil. In total around £1m will be transferred from the schools block to the high needs budget. This is to help cover additional costs of provision for permanently excluded pupils.

[screeintip-sectionC](#)

**2. Information used to analyse the effects on equality:**

Nationally, 10% of the high needs budget is notionally for Alternative Provision (AP) and 90% for Special Educational Needs (SEN). In

Nottingham City, we have had a shortfall in our high needs budget in excess of £1.6m per year since 2015/16 which has been funded by reserves. This is due to a rise in the rate of permanent exclusions, predominantly in the secondary phase. Pupils on roll at our behaviour Pupil Referral Units doubled between 2014 and 2017. This represents a marked transfer of responsibility for children with high needs from mainstream schools to the Local Authority's high needs budget. We are currently having to spend over 12% of our in-year high needs allocation on AP, but if the additional funding being drawn from reserves is included this rises to 19%. This demonstrates that our AP costs will take a disproportionate amount of our high needs budget once we are no longer able to rely on reserves, reducing the funding available for SEN pupils and putting their future levels of provision at risk as the Local Authority (LA) will have to take measures across high needs provision to set a balanced budget. There are limited reserves left and this proposal will help us to retain those. As such, this proposal helps protect equality of opportunity for some of our most vulnerable children and young people with special educational needs and disability. All City schools were consulted on these proposals between 17 July and 19 September 2018.

### 3. Impacts and Actions:

<a href="#">screentip-sectionD</a>	Could particularly benefit X	May adversely impact X
People from different ethnic groups.	<input type="checkbox"/>	<input type="checkbox"/>
Men	<input type="checkbox"/>	<input type="checkbox"/>
Women	<input type="checkbox"/>	<input type="checkbox"/>
Trans	<input type="checkbox"/>	<input type="checkbox"/>
<u>Disabled people</u> or carers.	X	<input type="checkbox"/>
Pregnancy/ Maternity	<input type="checkbox"/>	<input type="checkbox"/>
People of different faiths/ beliefs and those with none.	<input type="checkbox"/>	<input type="checkbox"/>
Lesbian, gay or bisexual people.	<input type="checkbox"/>	<input type="checkbox"/>
Older	<input type="checkbox"/>	<input type="checkbox"/>
Younger	X	X
Other (e.g. marriage/ civil partnership, looked after children, cohesion/ good relations, <u>vulnerable children/ adults</u> ).	X	<input type="checkbox"/>

***Please underline the group(s) /issue more adversely affected or which benefits.***

<p><b>How different groups could be affected</b> (Summary of impacts)</p> <p style="text-align: right;"><a href="#"><u>screeentip-sectionE</u></a></p>	<p><b>Details of actions to reduce negative or increase positive impact</b> (or why action isn't possible)</p> <p style="text-align: right;"><a href="#"><u>screeentip-sectionF</u></a></p>
<p>This proposal may help encourage a reduction in the number of permanent exclusions in the City. In Nottingham, as is the case nationally, a disproportionate number of young people excluded from school have SEN.</p> <p>This proposal will help safeguard levels of provision and support for pupils with SEN and disability.</p> <p>This proposal reduces the funding available in secondary schools in 2019/20 for the overall pupil population by £71 per pupil on average.</p>	<p>Continuing to seek Citywide adoption of a devolved AP funding model, which provides resources for schools to support earlier intervention for pupils at risk of exclusion.</p> <p>The LA has been leading a number of initiatives to help schools reduce exclusions e.g. exclusions/behaviour taskforce, routes to inclusion.</p> <p>Exclusion data will be monitored regarding the number, ethnicity, gender, SEN status and age group of pupils being excluded and the type and length of exclusions. The impact of this proposal will be reviewed in September 2019.</p>

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**4. Outcome(s) of equality impact assessment:**

<input checked="" type="checkbox"/>	No major change needed	<input type="checkbox"/>	Adjust the policy/proposal
<input type="checkbox"/>	Adverse impact but continue	<input type="checkbox"/>	Stop and remove the policy/proposal

**5. Arrangements for future monitoring of equality impact of this proposal / policy / service:**

This assessment will be reviewed in a year's time to determine the impact. This will be based on data relating to the number of exclusions broken down by type and length and the gender, age group, SEN status and ethnicity of pupils excluded.

**6. Approved by (manager signature) and Date sent to equality team for publishing:**

<p><b>Approving Manager:</b> Ceri Walters, Head of Commercial Finance <a href="mailto:Ceri.walters@nottinghamcity.gov.uk">Ceri.walters@nottinghamcity.gov.uk</a> 0115 87 64128</p>	<p><b>Date sent for scrutiny:</b> 27/09/18 Send document or Link to: <a href="mailto:equalityanddiversityteam@nottinghamcity.gov.uk">equalityanddiversityteam@nottinghamcity.gov.uk</a></p>
<p><b>SRO Approval:27/09/18</b></p>	<p><b>Date of final approval:01/10/18    A Djan</b></p>

**Before you send your EIA to the Equality and Community Relations Team for scrutiny, have you:**

1. Read the guidance and good practice EIA's  
<http://intranet.nottinghamcity.gov.uk/media/1924/simple-guide-to-eia.doc>
2. Clearly summarised your proposal/ policy/ service to be assessed.
3. Hyperlinked to the appropriate documents.
4. Written in clear user-friendly language, free from all jargon (spelling out acronyms).
5. Included appropriate data.
6. Consulted the relevant groups or citizens or stated clearly, when this is going to happen.
7. Clearly cross-referenced your impacts with SMART actions.

the change?

Use the information gathered through consultation to draft the Service plan or policy options

Pull together your draft document

Send your draft document to the ECR team for consideration

**emails@address.co.uk**

Team will grade (RAG rating) initial EIA and return for any necessary alterations.

The Team will support you with revisions until the EIA is passed as Green.

EIA will be published by ECR team if EIA is not part of a DDM?

If EIA part of DDM, ECR will add comments once final EIA has been agreed.

**KEY**

**EIA**— Equality Impact Assessment

**ECR**— Equality & Community Relations Team

**DDM**—Delegated Decision Making